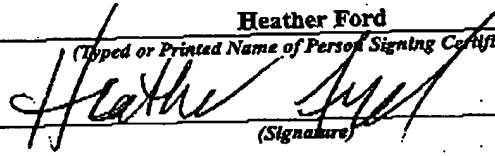


SEP 07 2005

<b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b>			Docket No. 112440-743
Applicant(s): <b>Shek Fai Lau et al.</b>			
Application No. 10/685,182	Filing Date October 14, 2003	Examiner Kishor Mayekar	Group Art Unit 1711
Invention: <b>ELECTRODE SELF-CLEANING MECHANISM FOR ELECTRO-KINETIC AIR</b>			
<p>I hereby certify that this <u>Recordation Sheet (1 page) and Assignment (4 pages)</u> <small>(Identify type of correspondence)</small></p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>571-273-8300</u>)</p> <p>on <u>September 7, 2005</u> <small>(Date)</small></p> <div style="display: flex; justify-content: space-between; align-items: flex-end; margin-top: 20px;"><div style="text-align: center;"><p><b>RECEIVED</b> OIPE/IAP</p><p><b>SEP 12 2005</b></p></div><div style="text-align: center;"><p><b>Heather Ford</b> <small>(Typed or Printed Name of Person Signing Certificate)</small></p> <small>(Signature)</small></div></div>			
<p><b>Note: Each paper must have its own certificate of mailing.</b></p>			

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Docket No: 112440-743

Form PTO-1595  
(Rev. 03/01)  
OMB No. 0651-0027 (exp. 5/31/2002)RECORDATION FORM COVER SHEET  
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Shek Fai Lau  
Jimmy Luther Lee  
Andrew J. ParkerAdditional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

## 3. Nature of conveyance:

- ☒ Assignment      ☐ Merger  
☐ Security Agreement      ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date(s): 9/12/00; 9/7/00; 9/12/00

## 2. Name and address of receiving party(ies):

Name: Sharper Image Corporation

Internal Address: \_\_\_\_\_

Street Address: 650 Davis StreetCity: San Francisco State: CA Zip: 94111Country: USAAdditional name(s) & address(es) attached? ☐ Yes ☐ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)  
10/685,182

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Robert M. Gould, Reg No. 43,642  
Bell, Boyd & Lloyd LLC  
P.O. Box 1135  
Chicago, Illinois 60690-1135  
Fax: 312.827.8185

## 6. Total number of applications and patents involved: \_\_\_\_\_

7. Total fee (37 CFR 3.41).....\$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

02-1818

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Robert M. Gould

Name of Person Signing

Signature

September 7, 2005

Date

Total number of pages including cover sheet, attachments, and documents: \_\_\_\_\_

Mail documents to be recorded with required cover sheet information to:  
Commissioner for Patents, Mail Stop Assignments, P.O. Box 1450, Alexandria, VA 22313-1450

SEP 07 2005

## ASSIGNMENT

WHEREAS, the undersigned,

(1) Shek Fai LAU (2) Jimmy Luther LEE(3) Andrew J. PARKER (4) \_\_\_\_\_

(hereinafter termed "Inventors"), residents of

(1) Foster City (2) Rohnert Park(3) Sausalito (4) \_\_\_\_\_

respectively, Counties of

(1) San Mateo (2) Sonoma(3) Marin (4) \_\_\_\_\_

respectively, States of

(1) California (2) California(3) California (4) \_\_\_\_\_

respectively, have invented certain new and useful Improvements in

ELECTRODE SELF-CLEANING MECHANISM FOR ELECTRO-KINETIC AIRTRANSPORTER-CONDITIONER DEVICES

(Accompanying application)

☐ and have executed concurrently herewith an application for a United States patent disclosing and identifying the invention;

(Not accompanying application)

☒ for which an application for a United States Patent was filed on 4 May 2000having Application Number 09/564,960

and

WHEREAS,

SHARPER IMAGE CORPORATION, a corporation of the State of Delawarehaving a place of business at 650 Davis Street, San Francisco, California 94111

(hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, Inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

I, Said Inventors do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for

foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

[continued on next page]

4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed and delivered this instrument to said

Assignee this

(1) 12<sup>th</sup> day of September, 2002.

\* (2) 7<sup>th</sup> day of September, 2000.

(3) 12<sup>th</sup> day of SEPTEMBER, 2002.

(4) \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

respectively.

(1) [Signature]  
(inventor)

County of \_\_\_\_\_ }  
State of \_\_\_\_\_ } ss.

On this \_\_\_\_\_ day of \_\_\_\_\_, in the year \_\_\_\_\_, before me, \_\_\_\_\_, a Notary Public of the State of \_\_\_\_\_,

personally appeared (1) \_\_\_\_\_, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature \_\_\_\_\_ LS

\* (2) [Signature]  
(inventor)

County of \_\_\_\_\_ }  
State of \_\_\_\_\_ } ss.

On this \_\_\_\_\_ day of \_\_\_\_\_, in the year \_\_\_\_\_, before me, \_\_\_\_\_, a Notary Public of the State of \_\_\_\_\_,

personally appeared (2) \_\_\_\_\_, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature \_\_\_\_\_ LS

(3) John P. [Signature]  
(inventor)

County of \_\_\_\_\_ }  
State of \_\_\_\_\_ } ss.

On this \_\_\_\_\_ day of \_\_\_\_\_, in the year \_\_\_\_\_, before me,  
\_\_\_\_\_, a Notary Public of the State of \_\_\_\_\_,  
personally appeared (3) \_\_\_\_\_, personally known  
to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is  
subscribed to the within instrument, and acknowledged that he/she executed the same in his/her  
authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon  
behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature \_\_\_\_\_ *LS*

(4) \_\_\_\_\_  
(inventor)

County of \_\_\_\_\_ }  
State of \_\_\_\_\_ } ss.

On this \_\_\_\_\_ day of \_\_\_\_\_, in the year \_\_\_\_\_, before me,  
\_\_\_\_\_, a Notary Public of the State of \_\_\_\_\_,  
personally appeared (4) \_\_\_\_\_, personally known  
to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is  
subscribed to the within instrument, and acknowledged that he/she executed the same in his/her  
authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon  
behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature \_\_\_\_\_ *LS*